

IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)
(National Phase of International App.: PCT/JP98/04475)

In re the
application of: **Seishi KATO et al.**

International Application No.: **PCT/JP98/04475**

International Filing Date: **05 October 1998**

U.S. Serial No.: **Not yet assigned**

Filed: **Herewith**

For: **HUMAN PROTEINS HAVING
TRANSMEMBRANE DOMAINS
AND cDNAs ENCODING THESE PROTEINS**

Attorney Docket No.: **GIN-6712CPUS**

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Certification Under 37 CFR 1.10

I hereby certify that the attached:

Transmittal Letter (2 sheets in duplicate); Declaration, Petition and Power of Attorney (unexecuted) (5 sheets); PCT Notification of Receipt of Record Copy (1 sheet); PCT Notification Concerning Submission or Transmittal of Priority Document (1 sheet); PCT Notification of the Recording of a Change (1 sheet); PCT Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (1 sheet); PCT Notification of Receipt of Demand by Competent International Preliminary Examining Authority (1 sheet); PCT Information Concerning Elected Offices Notified of their Election (1 sheet); PCT International Published Application (WO 99/18203) (without International Search Report) (138 sheets); Cover sheet of PCT International Published Application (WO 99/18203) (with International Search Report attached (4 sheets); PCT International Preliminary Examination Report (6 sheets); Check (#036342) (\$1100); Certificate of Express Mailing (1 sheet); and Return Postcard are being deposited by me with the United States Postal Service "Express Mail Post Office to

Date: **07 April 2000**

William J. McKinney

U.S. APPLICATION NO. (If known, file 37 CFR 1.5)

PRIORITY DATE CLAIMED
08 October 1997 (08.10.97)

Seishi KATO; Tomoko KIMURA; Shingo SEKINE; and Midori KOBAYASHI

Applicant herewith submits to the United States Designated Elected Office (DO EO US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) **(unexecuted) (5 sheets);**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: **Transmittal Letter (2 sheets in duplicate); PCT Notification of Receipt of a Copy (Form PCT/IB/301) (1 sheet); PCT Notification Concerning Submission or Transmittal of a Copy of a Change of Power of Attorney or Change of Address (Form PCT/IB/302) (1 sheet);** and **Change of Address or Power of Attorney (Form PCT/IB/303) (1 sheet).**

International Application to the Designated Offices (Form PCT/IB/302) (1 sheet);
 of Demand by Competent International Preliminary Examining Authority (Form PCT/IB/PA 402) (1 sheet);
 PCT Information Concerning Elected Offices Notified of their Election (Form PCT/IB/332) (1 sheet);
 PCT International Published Application (WO 99/18203) (without International Search Report) (139 sheets);
 Cover sheet of PCT International Published Application (WO 99/18203) (with International Search Report
 attached) (4 sheets); PCT International Preliminary Examination Report (6 sheets); Check (#036342)
 (SI100); Certificate of Express Mailing (1 sheet); and Return Postcard.

U.S. APPLICATION NO. (if known, see 37 CFR 1.53)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NO.	
697-9		PCT/JP98/04475		GIN-6712CPUS	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS (PTO USE ONLY)	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) (a/o January 1, 2000): Search Report has been prepared by the EPO or JPO.....\$840 International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$670 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$690 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$670 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$96					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$840	
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$--	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	11 -20 =	0	X \$18.00	\$--	
Independent claims	2 -3 =	0	X \$78.00	\$--	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 260.00	\$260	
TOTAL OF ABOVE CALCULATIONS =				\$1100	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28)				\$--	
SUBTOTAL =				\$1100	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$--	
TOTAL NATIONAL FEE =				\$1100	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$--	
TOTAL FEES ENCLOSED =				\$1100	
				Amount to be refunded	\$
				charged	\$

- a. ☒ A check (#036342) in the amount of \$1100 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.

SENDER'S ADDRESS

Amy E. Mandragouras, Esq.
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 United States of America
 (617)227-7400
 Date: 07 April 2000

SIGNATURE

Peter C. Lauro

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